

Sherpa Investment Group, LLC
320 Roxas Street
Santa Cruz, CA 95062

Beneficiary Designation Form and Identifying information

Client Name:	
Driver's License # / State:	
Address:	
Phone:	
Tax ID-Fill out form and return with Beneficiary info:	https://www.irs.gov/pub/irs-pdf/fw9.pdf

Who are your beneficiaries? It is very important to clearly indicate your primary beneficiary(ies) and contingent beneficiary(ies). Principle and interest are paid to contingent beneficiary(ies), only if there is no surviving primary beneficiary(ies). If multiple primary beneficiaries or contingent beneficiaries are named, and no percentage distribution is noted, then any proceeds payable to such beneficiaries will be split equally. If more space is needed to list your beneficiaries, please attach a sheet to this form. The beneficiary(ies) named on this form will be valid for all investments you hold, unless otherwise indicated by you. The beneficiary designation will go into effect, once this form is signed and dated.

Primary Beneficiary Name & Address	Soc. Sec. #	Relationship	Date of Birth	% (must = 100)

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Contingent Beneficiary(ies): Contingent beneficiaries will only receive benefit if there are no surviving primary beneficiaries.

Contingent Beneficiary Name & Address	Soc. Sec. #	Relationship	Date of Birth	% (must = 100)

Community Property State Consent for residents of Arizona, California, Idaho, Louisiana, Nevada, New Mexico, Texas, Washington, or Wisconsin. If you are married, live in a community property state, and name someone other than your spouse as a beneficiary, you may have your spouse sign below to waive his or her rights to any community property interest in the benefit.

As the Client's spouse, I do hereby consent to the beneficiary designation(s) indicated on this form, and waive any rights that I may have to the proceeds of such investments under applicable community property laws.

Signature of Spouse

Date

Signature of Client

Date

COMPLETING YOUR BENEFICIARY DESIGNATION FORM

1. At the top of the form, fill in your personal information.
2. Complete the information regarding who will be your primary and contingent beneficiaries. A primary beneficiary will be the person or people you want to receive the principle funds you have invested with Sherpa Investment Group, LLC, and the interest you earn on that principle. The contingent beneficiaries will only receive these funds if the primary beneficiaries are no longer living. Indicate the percentage of the benefit amount that the beneficiary will receive. Do not use dollar amounts. All percentages must add up to 100%.
3. If you live in a community property state, are married and are naming someone other than your spouse as the primary beneficiary, you may have your spouse sign the form in the section designated "Signature of Spouse" to avoid any delays at claim time.
4. Sign and date the form.

Below is an example of how to complete the beneficiary designations:

Primary Beneficiary Name & Address	Soc. Sec. #	Relationship	Date of Birth	% (must = 100)
Jill Doe 123 Main Street Anytown, CA 12345	555-55-5555	Wife	01/01/1965	100%

Contingent Beneficiary Name & Address	Soc. Sec. #	Relationship	Date of Birth	% (must = 100)
John Doe, Sr. 456 Main Lane Anytown, CA 12345	444-44-4444	Father	01/01/1938	50%
Mary Doe 789 Main Road Anytown, CA 12345	333-33-3333	Sister	01/01/1970	25%
Jack Doe Irrevocable Trust, Jill Doe TTEE UTA 1/04 123 Main Street Anytown, CA 12345		Trust	01/01/2005	25%